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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: George V. Guyan et al.

Appln. No.: 09/305,146

Filed: May 4, 1999

For: COMPONENT BASED

**INFORMATION LINKING** 

DURING CLAIM PROCESSING

Attorney Docket No: 10022-252

Examiner: Sana A. Al Hashemi

Art Unit: 2156

Confirmation No.: 1663

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Mail Stop RCE Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

In accordance with the duty of disclosure under 37 CFR §1.56 and §§1.97-1.98, and more particularly in accordance with 37 CFR §1.97(b), Applicants hereby cite the following reference(s). Copies of all the references, except the cited U.S. patents, are being supplied along with a completed Form PTO-1449.

U.S. PATENT DOCUMENTS		
DOCUMENT NO.	DATE	NAME
5,253,362	10/12/1993	Nolan et al.
5,627,764	05/06/1997	Schutzman et al.
6,606,740 B1	08/12/2003	Lynn et al.

## OTHER ART - NON PATENT LITERATURE DOCUMENTS

Agarwal et al., "Architecting Object Applications for High Performance with Relational Databases, High Performance Object/Relational Applications," August 10, 1995, pp. 1-8. Freeman, Charles, "Microsoft Access 97 Step by Step," published by Microsoft Press, 1997, Table of Contents and Quick Look Guide vii-xiv, Finding You Best Starting Point pp. xvi-xxvi, Part 1, Lesson 1 and Lesson 2, pgs. Cover-40, 63-108, 251-254.



Accenture's Amended Complaint for Patent Infringement, Trade Secret Misappropriation, and related State Law Claims filed November 13, 2008, in lawsuit asserting U.S. Patent No. 7,013,284 B2, captioned Accenture Global Services GmbH, et al. v. Guidewire Software, Inc., Dkt. No. 07cv826, D. Del. 12/18/07.17 pages.

Accenture's Second Amended Complaint for Patent Infringement, Trade Secret Misappropriation, and related State Law Claims filed December 17, 2008, in lawsuit asserting U.S. Patent No. 7,013,284 B2, captioned Accenture Global Services GmbH, et al. v. Guidewire Software, Inc., Dkt. No. 07cv826, D. Del. 12/18/07. 19 pages.

Guidewire's Answer to Second Amended Complaint filed January 9, 2009, in lawsuit asserting U.S. Patent No. 7,013,284 B2, captioned Accenture Global Services GmbH, et al. v. Guidewire Software, Inc., Dkt. No. 07cv826, D. Del. 12/18/07. 19 pages.

Accenture's Reply to Guidewire's Counterclaims with Defenses filed March 25, 2008, in lawsuit asserting U.S. Patent No. 7,013,284 B2, captioned Accenture Global Services GmbH, et al. v. Guidewire Software, Inc., Dkt. No. 07cv826, D. Del. 12/18/07. 13 pages.

Accenture's Reply to Guidewire's Counterclaims with Defenses to Accenture's Second Amended Complaint filed January 29, 2009, in lawsuit asserting U.S. Patent No. 7,013,284 B2, captioned Accenture Global Services GmbH, et al. v. Guidewire Software, Inc., Dkt. No. 07cv826, D. Del. 12/18/07. 9 pages.

Memorandum Opinion filed July 1, 2009, in lawsuit asserting U.S. Patent No. 7,013,284 B2, captioned Accenture Global Services GmbH, et al. v. Guidewire Software, Inc., Dkt. No. 07cv826, D. Del. 12/18/07. 11 pages.

Accenture's Reply to Guidewire's Amended Counterclaims with Defenses to Accenture's Second Amended Complaint filed August 6, 2009, in lawsuit asserting U.S. Patent No. 7,013,284 B2, captioned Accenture Global Services GmbH, et al. v. Guidewire Software, Inc., Dkt. No. 07cv826, D. Del. 12/18/07. 14 pages.

Joint Claim Construction Statement filed September 29, 2009, in lawsuit asserting U.S. Patent No. 7,013,284 B2, captioned Accenture Global Services GmbH, et al. v. Guidewire Software, Inc., Dkt. No. 07cv826, D. Del. 12/18/07. 7 pages.

Joint Claim Construction Appendix Volume III of III Exhibits 3-4 filed October 2, 2009, in lawsuit asserting U.S. Patent No. 7,013,284 B2, captioned Accenture Global Services GmbH, et al. v. Guidewire Software, Inc., Dkt. No. 07cv826, D. Del. 12/18/07. 219 pages.

Accenture's Opening Claim Construction Brief filed October 2, 2009, in lawsuit asserting U.S. Patent No. 7,013,284 B2, captioned Accenture Global Services GmbH, et al. v. Guidewire Software, Inc., Dkt. No. 07cv826, D. Del. 12/18/07. 31 pages.

Declaration of Diana Luo in Support of Plaintiffs Accenture Global Services GmbH and Accenture LLP'S Opening Claim Construction Brief filed October 5, 2009, in lawsuit asserting U.S. Patent No. 7,013,284 B2, captioned Accenture Global Services GmbH, et al. v. Guidewire Software, Inc., Dkt. No. 07cv826, D. Del. 12/18/07. 5 pages.

Guidewire's Answering Claim Construction Brief filed on October 30, 2009, in lawsuit asserting U.S. Patent No. 7,013,284 B2, captioned Accenture Global Services GmbH, et al. v. Guidewire Software, Inc., Dkt. No. 07cv826, D. Del. 12/18/07. 49 pages.

Declaration of Diana Luo in Support of Accenture's Claim Construction Answering Brief filed November 5, 2009, in lawsuit asserting U.S. Patent No. 7,013,284 B2, captioned Accenture Global Services GmbH, et al. v. Guidewire Software, Inc., Dkt. No. 07cv826, D. Del. 12/18/07. 8 pages.

Accenture's Claim Construction Answering Brief filed November 5, 2009, in lawsuit asserting U.S. Patent No. 7,013,284 B2, captioned Accenture Global Services GmbH, et al. v. Guidewire Software, Inc., Dkt. No. 07cv826, D. Del. 12/18/07. 25 pages.

Defendant Guidewire's Opening Claim Construction Brief filed November 6, 2009, in lawsuit asserting U.S. Patent No. 7,013,284 B2, captioned Accenture Global Services GmbH, et al. v. Guidewire Software, Inc., Dkt. No. 07cv826, D. Del. 12/18/07. 30 pages.

Defendant Guidewire Software Inc.'s Objections and Response to Plaintiff Accenture's First Set of Interrogatories, August 22, 2008, In lawsuit asserting U.S. Patent No. 7,013,284 B2, captioned Accenture Global Services GmbH, et al. v. Guidewire Software, Inc., Dkt. No. 07cv826, D. Del. 12/18/07. pp 1, 5-7 and 16.

Complaint for Patent Infringement filed November 10, 2009, in lawsuit asserting U.S. Patent No. 7,617,240, captioned Accenture Global Services GmbH, et al. v. Guidewire Software, Inc., Dkt. No. 09cv848, D. Del. 11/10/09. 6 pages.

Defendant Guidewire's Answer and Counterclaims to Accenture's Complaint for Patent Infringement filed November 30, 2009, in lawsuit asserting U.S. Patent No. 7,617,240, captioned Accenture Global Services GmbH, et al. v. Guidewire Software, Inc., Dkt. No. 09cv848, D. Del. 11/10/09. 9 pages.

March 11, 2002 Amendment after Office Action mailed October 18, 2001, for commonly owned U.S. Application No. 09/305,228 filed May 4, 1999. 16 pages.

Continued Prosecution Application (CPA) Request dated April 18, 2002, for commonly owned U.S. Application No. 09/305,228 filed May 4, 1999. 2 pages.

Office Action mailed May 9, 2001, for commonly owned U.S. Application No. 09/305,234 filed May 4, 1999. 4 pages.

November 27, 2001 Response to Office Action mailed May 9, 2001, for commonly owned U.S. Application No. 09/305,234 filed May 4, 1999. 9 pages.

Office Action mailed February 7, 2002, for commonly owned U.S. Application No. 09/305,234 filed May 4, 1999. 4 pages.

March 6, 2002 Response to Office Action mailed February 7, 2002, for commonly owned U.S. Application No. 09/305,234 filed May 4, 1999. 2 pages.

Office Action mailed June 3, 2002, for commonly owned U.S. Application No. 09/305,234 filed May 4, 1999. 6 pages.

September 3, 2002, Amendment in response to Office Action mailed June 3, 2002, for commonly owned U.S. Application No. 09/305,234 filed May 4, 1999. 5 pages.

Advisory Action mailed September 17, 2002, for commonly owned U.S. Application No. 09/305,234 filed May 4, 1999. 3 pages.

Filing of Continued Prosecution Application dated November 4, 2002, for commonly owned U.S. Application No. 09/305,234 filed May 4, 1999. 2 pages.

Office Action mailed November 26, 2002, for commonly owned U.S. Application No. 09/305,234 filed May 4, 1999. 4 pages.

March 4, 2003 Response to Office Action mailed November 26, 2002, for U.S. Application No. 09/305,234 filed May 4, 1999. 13 pages.

Office Action mailed May 21, 2003, for U.S. Application No. 09/305,234 filed May 4, 1999. 6 pages. 6 pages.

September 22, 2003 Amendment in Response to Office Action mailed May 21, 2003, for U.S. Application No. 09/305,234 filed May 4, 1999. 10 pages.

Advisory Action mailed October 28, 2003, for U.S. Application No. 09/305,234 filed May 4, 1999. 2 pages.

Request for Continued Examination dated October 21, 2003, for U.S. Application No. 09/305,234 filed May 4, 1999. 2 pages.

Office Action mailed November 17, 2003, for U.S. Application No. 09/305,234 filed May 4, 1999. 5 pages.

February 17, 2004 Response to Office Action mailed November 17, 2003, for U.S. Application No. 09/305,234 filed May 4, 1999. 11 pages.

Office Action mailed May 4, 2004, for U.S. Application No. 09/305,234 filed May 4, 1999. 6 pages.

July 6, 2004 Amendment in Response to Office Action mailed May 4, 2004, for U.S. Application No. 09/305,234 filed May 4, 1999. 9 pages.

Advisory Action mailed August 31, 2004, for U.S. Application No. 09/305,234 filed May 4, 1999. 3 pages.

Advisory Action mailed September 14, 2004, for U.S. Application No. 09/305,234 filed May 4, 1999. 3 pages.

Request for Continued Examination dated September 7, 2004, for U.S. Application No. 09/305,234 filed May 4, 1999. 2 pages.

Office Action mailed November 3, 2004, for U.S. Application No. 09/305,234 filed May 4, 1999. 6 pages.

May 5, 2005 Amendment and Response to Office Action dated November 11, 2004, for U.S. Application No. 09/305,234 filed May 4, 1999. 13 pages.

Notice of Informal or Non-Responsive Amendment mailed July 26, 2005, for U.S. Application No. 09/305,234 filed May 4, 1999. 2 pages.

August 24, 2005 Response to Office Action mailed July 26, 2005, for U.S. Application No. 09/305,234 filed May 4, 1999. 8 pages.

Office Action mailed November 17, 2005, for U.S. Application No. 09/305,234 filed May 4, 1999. 6 pages.

January 17, 2006 Amendment after Final Rejection mailed November 17, 2005, for U.S. Application No. 09/305,234 filed May 4, 1999. 14 pages.

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Examiner Interview Summary mailed March 30, 2006, for U.S. Application No. 09/305,234 filed May 4, 1999. 4 pages.

March 29, 2006 Amendment after Final Rejection mailed November 17, 2005, for U.S. Application No. 09/305,234 filed May 4, 1999. 12 pages.

Ex Parte Quayle Action mailed April 12, 2006, for U.S. Application No. 09/305,234 filed May 4, 1999. 4 pages.

May 18, 2006 Amendment and Response to Ex Parte Quayle Action mailed April 12, 2006, for U.S. Application No. 09/305,234 filed May 4, 1999. 5 pages.

Notice of Informal or Non-Responsive Amendment mailed June 1, 2006, for U.S. Application No. 09/305,234 filed May 4, 1999. 2 pages.

June 16, 2006 Response after Ex Parte Quayle Action mailed April 12, 2006, for U.S. Application No. 09/305,234 filed May 4, 1999. 4 pages.

Ex Parte Quayle Action mailed May 18, 2007, for U.S. Application No. 09/305,234 filed May 4, 1999. 3 pages.

June 25, 2007 Response After Ex Parte Quayle Action mailed May 18, 2007, for U.S. Application No. 09/305,234 filed May 4, 1999. 10 pages.

April 10, 2008 Request for Continued Examination, for U.S. Application No. 09/305,234 filed May 4, 1999. 2 pages.

Notice of Allowance mailed April 30, 2008, for U.S. Application No. 09/305,234 filed May 4, 1999. 4 pages.

Issue Notification dated June 25, 2008, for U.S. Application No. 09/305,234 filed May 4, 1999. 1 page.

July 3, 2008 Request for Continued Examination, for U.S. Application No. 09/305,234 filed May 4, 1999. 2 pages.

Notice of Withdrawal from Issue mailed July 8, 2008, for commonly owned U.S. Application No. 09/305,234 filed May 4, 1999. 1 page.

Office Action mailed August 1, 2008, for U.S. Application No. 09/305,234 filed May 4, 1999. 6 pages.

Examiner's Amendment mailed August 12, 2009, for U.S. Application No. 09/305,234 filed May 4, 1999. 7 pages.

Notice of Allowance mailed September 3, 2009, for U.S. Application No. 09/305,234 filed May 4, 1999. 10 pages.

Request for Ex Parte Reexamination, including exhibits H, I, J, and K, dated November 10, 2009, for U.S. Application No. 09/305,234 filed May 4, 1999 and later assigned Application No. 90/010,735. 831 pages.

Report on the Filing or Determination of an Action Regarding a Patent or Trademark mailed December 16, 2009, for commonly owned U.S. Application No. 09/305,234 filed May 4, 1999. 1 page.

Order Granting Ex Parte Reexamination mailed January 7, 2010, for U.S. Application No. 09/305,234 filed May 4, 1999 and later assigned Application No. 90/010,735. 17 pages.

Determination of Re-Exam mailed August 1, 2008, for U.S. Re-Examination No. 90/010,201, filed June 19, 2008. 12 pages.

Office Action mailed July 17, 2009, for U.S. Re-Examination No. 90/010,201, filed June 19, 2008. 28 pages.

Examiner Interview Summary Record dated September 17, 2009, for U.S. Re-Examination No. 90/010,201, filed June 19, 2008. 3 pages.

September 17, 2009 Response to Office Action mailed July 17, 2009, for U.S. Re-Examination No. 90/010,201, filed June 19, 2008. 49 pages.

September 17, 2009, Affidavits, Declarations and/or Exhibits filed, for U.S. Re-Examination No. 90/010,201, filed June 19, 2008. 201 pages.

May 31, 2002 Amendment and Request for Reconsideration after Office Action mailed December 3, 2001, for commonly owned U.S. Application No. 09/305,331. 17 pages.

Second Request for Ex Parte Reexamination, including exhibits I, J, K, L, M, N, O, P, and Q, dated October 9, 2009, for commonly owned U.S. Application No. 09/305,331 and later assigned Application No. 90/010,713. 974 pages.

Order Granting Ex Parte Reexamination mailed December 17, 2009, for commonly owned U.S. Application No. 09/305,331 and later assigned Application No. 90/010,713. 15 pages.

Preliminary Amendment filed December 20, 2004, for commonly owned U.S. Application No. 11/017,086 filed December 20, 2004. 9 pages.

Office Action mailed September 23, 2005, for commonly owned U.S. Application No. 11/017,086 filed December 20, 2004. 22 pages.

January 23, 2006 Response to Office Action mailed September 23, 2005, for commonly owned U.S. Application No. 11/017,086 filed December 20, 2004. 19 pages.

Office Action mailed April 18, 2006, for commonly owned U.S. Application No. 11/017,086 filed December 20, 2004. 24 pages.

Notice of Appeal filed September 15, 2006, for U.S. Application No. 11/017,086 filed December 20, 2004. 1 page.

February 14, 2007 Amendment and Request for Continued Examination, for commonly owned U.S. Application No. 11/017,086 filed December 20, 2004. 18 pages.

Office Action mailed March 22, 2007, for commonly owned U.S. Application No. 11/017,086 filed December 20, 2004. 21 pages.

August 17, 2007 Response to Office Action mailed March 22, 2007, for commonly owned U.S. Application No. 11/017,086 filed December 20, 2004. 16 pages.

May 2, 2008 Request for Continued Examination, for commonly owned U.S. Application No. 11/017,086 filed December 20, 2004. 2 pages.

Office Action mailed August 11, 2008, for commonly owned U.S. Application No. 11/017,086 filed December 20, 2004. 23 pages.

Office Action July 21, 2009, for commonly owned U.S. Application No. 11/017,086 filed December 20, 2004. 24 pages.

Applicants are enclosing Form PTO-1449 (five sheets), along with a copy of each listed reference for which a copy is required under 37 CFR §1.98(a)(2). Applicants respectfully request the Examiner's consideration of the above reference(s) and entry thereof into the record of this application. Applicants are submitting Office Actions

issued by the U.S. Patent and Trademark Office and any responses filed by Applicants, that have not been previously cited, for the following related U.S. Applications: Serial No. 09/305,234, filed May 4, 1999; 09/305,228, filed May 4, 1999; Serial No. 09/305,331, filed May 4, 1999; Serial No. 11/017,086, filed December 20, 2004; and Serial No. 90/010,201, filed June 19, 2008. For the Examiner's review, these documents as well as Office Actions and Responses previously cited for related U.S. applications Serial No. 09/305,816 filed May 4, 1999 and Serial No. 09/305,817 filed May 4, 1999, can be found on PAIR.

By submitting this Statement, Applicants are attempting to fully comply with the duty of candor and good faith mandated by 37 CFR §1.56. As such, this Statement is not intended to constitute an admission that any of the enclosed references, or other information referred to therein, constitutes "prior art" or is otherwise "material to patentability," as that phrase is defined in 37 CFR §1.56(a).

Applicants have calculated no fee to be due upon filing this Statement. However, the Director is authorized to charge any fee deficiency associated with the filing of this Statement to a deposit account, as authorized in the accompanying Transmittal.

Respectfully submitted,

February 2, 2010

Date

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